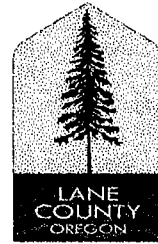


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63-1-6-10

AGENDA COVER MEMO



AGENDA DATE: January 8, 2003
TO: Board of County Commissioners
DEPARTMENT: Health & Human Services
PRESENTED BY: Rob Rockstroh

AGENDA TITLE: IN THE MATTER OF AUTHORIZING THE COUNTY ADMINISTRATOR TO SIGN A GRANT APPLICATION TO THE FEDERAL CENTER FOR SUBSTANCE ABUSE TREATMENT (CSAT) FOR UP TO \$500,000 PER YEAR FOR A THREE-YEAR PERIOD TO ENHANCE SERVICES TO 129 ADULT OFFENDERS ON PAROLE OR PROBATION.

I. MOTION

TO AUTHORIZE THE COUNTY ADMINISTRATOR TO SIGN A GRANT APPLICATION TO THE FEDERAL CENTER FOR SUBSTANCE ABUSE TREATMENT (CSAT) FOR UP TO \$500,000 PER YEAR FOR A THREE-YEAR PERIOD TO ENHANCE SERVICES TO 129 ADULT OFFENDERS ON PAROLE OR PROBATION.

II. ISSUE OR PROBLEM

Lane County Health & Human Services has a proposal to respond to a request for grant application from the federal Center for Substance Abuse Treatment (CSAT). The proposal requests up to \$500,000 per year for a total of three years. This dollar amount exceeds the county administrator's authority to sign. Therefore, the board must grant authorization for signature of the application.

III. DISCUSSION

A. Background / Analysis

Lane County Parole and Probation (P&P) has identified a caseload of clients who repeatedly violate conditions of supervision by engaging in alcohol and/or

other drug use. Some of these clients have mental health disorders as well. Parole officer caseloads are very large which limits their ability to provide adequate supervision and timely and effective referral to treatment and services. The result is that clients are continually cycling in and out of failed chemical addiction treatment attempts and the criminal justice system, increasing the workload of police, courts and the jail. Additionally, homelessness is often a problem as chemical use absorbs all the financial resources of the individuals.

In June 2002, the federal Substance Abuse and Mental Health Services Administration (SAMHSA), Center for Substance Abuse Treatment (CSAT) announced the availability of funds for grants to enhance substance abuse treatment capacity in local communities. The Targeted Capacity Expansion (TCE) program is designed to improve the quality or intensity of treatment services by adding effective treatment approaches, or adding a new service to address emerging trends or unmet needs. Eligibility is limited to local governmental entities. CSAT has a substantial interest in funding projects that are consistent with SAMHSA priorities, especially closing the substance abuse treatment gap, co-occurring disorders, homelessness and criminal justice. In Lane County, unmet needs include chemical dependency treatment, mental health treatment and temporary shelter for clients receiving supervision from Lane County (P&P). Health & Human Services (H&HS) developed a grant application to submit to CSAT to fund treatment interventions for this client group.

In October 2000, Lane County received a TCE Grant award to provide intensive outpatient treatment services to individuals addicted to heroin. That grant provided funding for services to clients under supervision at P&P as well as others. Unfortunately, the three-year term of that grant ends on September 30, 2003. There are several other funding streams for chemical addiction treatment services that have been or will be reduced. By October 2003, the loss of service funding for outpatient treatment services in Lane County will have risen to approximately \$2,725,597 each year. Additionally, if revenue Measure 28 is not passed in January 2003, Lane County will lose funding, in the amount of \$401,500, for 11 residential treatment beds. Currently there is a six-weeks to three-month waiting period for residential treatment services.

The grant proposal seeks \$500,000 per year, for three years, to enhance services to 129 adult offenders each year on parole or probation who have unmet alcohol/drug treatment needs. Some individuals have co-occurring disorders. The project will provide faster transitions into outpatient/residential alcohol/drug treatment and, where indicated, mental health treatment, psychiatric evaluation, appropriate medication, medication monitoring, temporary shelter and other needed support and structure.

There are four goals identified in the application. These include:

- Decrease drug-related supervision violations
- Decrease drug-related criminal activity
- Decrease use of alcohol and other drugs
- Improve functioning and community integration among adult offenders in the program

The project model will provide intensive case management for the identified client population that are referred by their primary parole officer. The case management function will be provided by a mental health specialist that is qualified to perform evaluation and referral to services and then track the client's progress and coordinate needed actions with the parole officer and services providers.

Service provision will be done by Lane County Mental Health, the Lane County Methadone Program, Willamette Family Treatment Services (WFTS) and Sponsors. The grant application requires a description of the services to be provided and, where possible, identification of the providers of the services. The outpatient treatment service provider(s) will not be identified. If CSAT awards the grant to the county, an additional competitive process will occur to determine the providers of the outpatient treatment services. However, WFTS and Sponsors were selected in compliance with public contract law and are named in the application.

There is also an evaluation component written into the project model. At the time of this writing, H&HS staff are in the process of selecting the provider of this service. Therefore, the grant application has not been completed and is not attached to this Board Order. The grant application will be available for review, in the Board of County Commissioner's Office, by noon on Friday, January 3, 2003.

The grant does not contain a match requirement. Any match listed on the final budget will be for resources at the treatment provider level.

The grant award requested, \$500,000 for three years, exceeds the county administrator's delegated authority to sign (Lane Manual 21.137). Therefore, this order requests the Board to delegate authority to the county administrator to sign the application.

B. Alternatives / Options

1. To approve the motion and authorize the county administrator to sign the grant application.
2. Not to approve the motion.

C. Recommendation

To accept the motion.

D. Timing

The grant application is due in Washington, D.C. on January 10, 2003. Therefore, the Board must act to allow the application to be processed.

IV. IMPLEMENTATION

Upon approval by the Board the application will be processed for signature, then mailed to Washington.

V. ATTACHMENTS

Board Order

THE BOARD OF COUNTY COMMISSIONERS, LANE COUNTY, OREGON

RESOLUTION AND ORDER:) IN THE MATTER OF AUTHORIZING THE COUNTY
) ADMINISTRATOR TO SIGN A GRANT APPLICATION TO THE
) FEDERAL CENTER FOR SUBSTANCE ABUSE TREATMENT
) (CSAT) FOR UP TO \$500,000 PER YEAR FOR A THREE-YEAR
) PERIOD TO ENHANCE SERVICES TO 129 ADULT OFFENDERS
) ON PAROLE OR PROBATION.

WHEREAS, the federal Substance Abuse and Mental Health Services Administration Center for Substance Abuse Treatment (CSAT) has released a request for grant proposals to enhance substance abuse treatment capacity in local communities with serious, emerging drug problems; and

WHEREAS, Lane County Parole and Probation officer caseloads are very large which limits their ability to provide adequate supervision and timely and effective referral to treatment and services; and

WHEREAS, a caseload of clients who repeatedly violate conditions of supervision by engaging in alcohol and/or other drug use are continually cycling in and out of failed chemical addiction treatment attempts and the criminal justice system, increasing the workload of police, courts and the jail; and

WHEREAS, a planning process was conducted and determined that intensive case management with appropriate treatment and other service enhancements for this corrections client population may be more effective; and

WHEREAS, a proposal, including funds to support local administration of the grant, has been developed and must be forwarded to CSAT for review and possible award.

NOW THEREFORE, IT IS HEREBY RESOLVED AND ORDERED that the Board of County Commissioners authorize the county administrator to sign the CSAT grant application for up to \$500,000, each year, for three years, to support individuals who have unmet alcohol/drug treatment needs.

DATED this _____ day of January 2003.

Bill Dwyer, Chair
BOARD OF COUNTY COMMISSIONERS

MEMORANDUM

TO: Board of County Commissioners

FROM: Linda Eaton *uk*
Program Manager
Alcohol, Drug, and Offender Program

RE: Supplement to Board Order for January 6 Agenda
H&HS Application for Services through a CSAT TCE Grant

DATE: December 26, 2002

This is in response to the twelve questions listed in the APM to be addressed by departments seeking approval of a grant application. The questions and responses are listed below.

1. What is the match requirement, if any, and how is that to be covered for the duration of the grant?

There is no match requirement for this grant. However, the budget for the project does reflect non-grant revenue in the amount of \$82,622 for the first year of the grant. That dollar amount will be from Oregon Health Plan (OHP) revenue and client fee collections in the mental health, methadone, and A&D outpatient treatment programs. The non-grant revenue will allow the project to meet the total cost of services, in conjunction with the \$500,000 federal grant dollars.

The budget for the current TCE project in H&HS also has a non-grant supplement to the grant dollars, although there is no federal requirement for a match.

2. Will the grant require expenditures for Materials and Services or capital not fully paid for by the grant?

No. All expenditures needed for the implementation of the grant are included in the grant application budget.

3. Will the grant funds be fully expended before county funds need to be spent?

There are no county funds in the budget for this project, other than the OHP and client fee revenue to be collected by the programs.

4. How will the administrative work of the grant be covered if the grant funds don't cover it?

The grant funds provide for all the administrative work connected with this project.

5. Have grant stakeholders been informed of the grant sunset policy so there is no misunderstanding when the funding ends? Describe plan for services if funding does not continue.

All partners in the grant application are aware that this is a three year grant. This application, if successful, will continue the level of services funded by the current TCE grant for heroin treatment. The services will not be exactly the same, but will provide a similar level of support for A&D treatment in the community.

The plan for continuation of funding at the end of the three year grant includes applying for another TCE project, if the same pool of funding is available. If not, other grant sources will be sought for continuation. If no grant funding is available, these services would be discontinued.

6. What accounting, auditing and evaluation obligations are imposed by the grant conditions?

The grant requires quarterly financial and program reports to be submitted to the federal CSAT office. These are similar to the reports provided under the current TCE grant. The grant also requires a program evaluation, including the submission of client level data on each program participant. These activities are also similar to those required in the current grant, and have been budgeted for in the new application.

7. How will the department cover the accounting, auditing and evaluation obligations? How are the costs for these obligations covered, regardless whether they are in the department submitting the grant or a support service department? Does the department acknowledge that the county will need to cover these costs and it is an appropriate cost incurred by support service departments?

Funds are budgeted in the grant application to provide all these obligations. Staff FTE in H&HS are included to provide the accounting and auditing function. A bid process has resulted in the selection of a contractor to provide the program evaluation. The dollar amount for this contract is included in the grant application budget. The county will not need to spend any money on this project.

8. Are there any restrictions against applying the county full cost indirect charge?

An indirect amount has been included in the grant application budget, per the negotiated agreement with the federal government, as reflected in a letter to James R. Johnson dated January 4, 1990.

9. Are there unique or unusual conditions that trigger additional county work effort, or liability, i.e., maintenance of effort requirements or supplanting prohibitions or indemnity obligations?

There are no unique or unusual conditions attached to this grant. The conditions of the grant are similar to the current TCE grant-funded project, which the department is meeting. There is a standard prohibition against supplanting. This project does not supplant existing county funds.

10. Grants involving technology issues require Information Services department review and approval prior to submission to the Board to ensure compatibility with existing county systems and development tools.

This grant does not have technology issues that will have an impact on existing county systems. The software required for this grant is already on the PCs of appropriate H&HS staff.

11. Information Services department sign-off is required for all agenda items requesting funding for new or enhanced computer applications/systems that will interface with existing county systems/infrastructure.

This item does not apply to the TCE grant application.

12. If this is a grant funded computer/software applications project....

This is not a grant funded computer/software applications project.

CC: Marc Kardell
Rob Rockstroh
Peg Jennette

